

Minimal Retentive Sets in Tournaments

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Abstract Tournament solutions, i.e., functions that associate with each complete and asymmetric relation on a set of alternatives a nonempty subset of the alternatives, play an important role in the mathematical social sciences at large. For any given tournament solution S , there is another tournament solution \hat{S} which returns the union of all inclusion-minimal sets that satisfy S -retentiveness, a natural stability criterion with respect to S . Schwartz's tournament equilibrium set (TEQ) is defined recursively as $TEQ = T\hat{E}Q$. In this article, we study under which circumstances a number of important and desirable properties are inherited from S to \hat{S} . We thus obtain a hierarchy of attractive and efficiently computable tournament solutions that "approximate" TEQ , which itself is computationally intractable. We further prove a weaker version of a recently disproved conjecture surrounding TEQ , which establishes \hat{TC} —a refinement of the top cycle—as an interesting new tournament solution.

Keywords Tournament Solutions · Retentiveness · Tournament Equilibrium Set

1 Introduction

Many problems in the mathematical social sciences can be addressed using tournament solutions, i.e., functions that associate with each complete and asymmetric

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dominance relation on a set of alternatives a nonempty subset of the alternatives. For instance, tournament solutions play an important role in social choice theory, where the binary relation is typically defined via pairwise majority voting (Moulin, 1986; Laslier, 1997). Other application areas include multi-criteria decision analysis (Arrow and Raynaud, 1986; Bouyssou et al., 2006), zero-sum games (Fisher and Ryan, 1995; Laffond et al., 1993b; Duggan and Le Breton, 1996), coalitional games (Brandt and Harrenstein, 2010), and argumentation theory (Dung, 1995; Dunne, 2007).

Examples of well-studied tournament solutions are the *top cycle* (TC), the *Copeland set* (CO), the *minimal covering set* (MC), the *Banks set* (BA), and the *Slater set* (see Laslier, 1997). Recent years have also witnessed an increasing interest in these concepts by the computer science community, particularly with respect to their computational complexity. For example, the top cycle and the minimal covering set of a tournament can be computed efficiently, i.e., in polynomial time (Brandt et al., 2009; Brandt and Fischer, 2008), whereas computing the Banks set and the Slater set is NP-hard (Woeginger, 2003; Alon, 2006; Conitzer, 2006).¹

The *tournament equilibrium set* (TEQ), introduced by Schwartz (1990), ranks among the most intriguing, but also among the most enigmatic, tournament solutions. Schwartz defined TEQ on the basis of the concept of *retentiveness*. For a given tournament solution S , a set B of alternatives is said to be *S-retentive* if S selects from each dominator set of some alternative in B a subset of alternatives that is contained in B . The requirement of retentiveness can be argued for from the perspective of cooperative majority voting, where the voters have to come to an eventual agreement as to which alternative to elect (see Schwartz, 1990, for more details). Additionally, retentiveness strongly resembles the game-theoretic notion of closure under best-response behavior (Basu and Weibull, 1991).

Schwartz defined TEQ as the union of all inclusion-minimal TEQ -retentive sets. This is a proper recursive definition, as the cardinality of the set of dominators of an alternative in a particular set is always smaller than the cardinality of the set itself. Schwartz furthermore conjectured that every tournament contains a *unique* minimal TEQ -retentive set. As was shown by Laffond et al. (1993a) and Houy (2009a,b), TEQ satisfies any one of a number of important properties such as *monotonicity* if and only if Schwartz's conjecture holds. Brandt et al. (2013) recently disproved Schwartz's conjecture by showing the existence of a counter-example of astronomical proportions. The interest in TEQ and retentiveness in general, however, is hardly diminished as concrete counter-examples to Schwartz's conjecture have never been encountered, even when resorting to extensive computer experiments (Brandt et al., 2010). Apparently, counter-examples are extremely rare and it may be argued that TEQ satisfies the above mentioned properties for all practical matters. A small number of properties is known to hold independently of Schwartz's conjecture: TEQ is contained in the Banks set (Schwartz, 1990), satisfies composition-consistency (Laffond et al., 1996), and is NP-hard to compute (Brandt et al., 2010).

In this article, we intend to shed more light on the fascinating notion of retentiveness by viewing the matter from a more general perspective. For any given tourna-

¹ NP-hardness is commonly seen as strong evidence that a problem cannot be solved efficiently. The interested reader is referred to the articles by Woeginger (2003) and Brandt and Fischer (2008) for a more detailed discussion.

ment solution S , we define another tournament solution \mathring{S} (“ S ring”) which yields the union of all minimal S -retentive sets. The top cycle, for example, coincides with $TRIV$, where $TRIV$ is the trivial tournament solution that always returns all alternatives. By definition, TEQ is the only tournament solution S for which \mathring{S} equals S .

With every tournament solution S we then associate an infinite sequence $(S^{(0)}, S^{(1)}, S^{(2)}, \dots)$ of tournament solutions such that $S^{(0)} = S$ and $S^{(k+1)} = \mathring{S}^{(k)}$ for all $k \geq 0$. Our investigation concentrates on three main issues regarding such sequences and the solution concepts therein:

- the *inheritance of desirable properties*,
- their *asymptotic behavior*, and
- the *uniqueness of minimal retentive sets*.

First, while TEQ itself fails to satisfy the desirable properties mentioned above, we do know that some less sophisticated tournament solutions such as $TRIV$ do. In Section 4, we therefore investigate which properties are inherited from S to \mathring{S} , and vice versa. We find that the former is the case for most of the properties mentioned above, provided that S always admits a unique minimal S -retentive set, whereas the latter also holds without this assumption. Composition-consistency is a notable exception: we prove that TEQ is the *only* composition-consistent tournament solution defined via retentiveness.

Second, we find that for every S the sequence $(S^{(0)}, S^{(1)}, S^{(2)}, \dots)$ converges to TEQ . In Section 5, we investigate the properties of these sequences in more detail by focusing on the class of tournaments for which Schwartz’s conjecture holds. We show that all tournament solutions in the sequence associated with the trivial tournament solution $TRIV$ are contained in one another, contain TEQ , and, by the inheritance results of Section 4, share the desirable properties of $TRIV$. Efficient computability turns out to be inherited from S to \mathring{S} even without any additional assumptions. While this does not imply that TEQ itself is efficiently computable, the tournament solutions in the sequence for $TRIV$ provide better and better efficiently computable *approximations* of TEQ . We also establish tight bounds on the minimal number k such that $S^{(k)}$ is guaranteed to coincide with TEQ , relative to the size of the tournament in question.

Third, the sequence associated with each tournament solution gives rise to a corresponding sequence of weaker versions of Schwartz’s conjecture. The first such statement regarding the sequence for $TRIV$ alleges that every tournament has a unique minimal $TRIV$ -retentive set and was proved by Good (1971). In Section 6 we prove the second statement: there is a unique minimal TC -retentive set in every tournament. We conclude by giving an example of a well-known tournament solution for which the analogous statement does not hold. More precisely, we identify a tournament with disjoint *Copeland-retentive sets*.

2 Preliminaries

In this section, we provide the terminology and notation required for our results. For a more extensive treatment of tournament solutions and their properties the reader is referred to Laslier (1997).

2.1 Tournaments

Let X be a universe of alternatives. The set of all nonempty finite subsets of X will be denoted by $\mathcal{F}(X)$. A (finite) *tournament* T is a pair $(A, >)$, where $A \in \mathcal{F}(X)$ and $>$ is an asymmetric and complete (and thus irreflexive) binary relation on X , usually referred to as the *dominance relation*.² Intuitively, $a > b$ signifies that alternative a is preferable to alternative b . The dominance relation can be extended to sets of alternatives by writing $A > B$ when $a > b$ for all $a \in A$ and $b \in B$. We further write $\mathcal{T}(X)$ for the set of all tournaments $(A, >)$ with $A \in \mathcal{F}(X)$.

For a tournament $T = (A, >)$, an alternative $a \in A$, and a subset $B \subseteq A$ of alternatives, we denote by $D_{B, >}(a)$ the *dominion* of a in B , i.e.,

$$D_{B, >}(a) = \{b \in B : a > b\},$$

and by $\overline{D}_{B, >}(a)$ the *dominators* of a in B , i.e.,

$$\overline{D}_{B, >}(a) = \{b \in B : b > a\}.$$

Whenever the dominance relation $>$ is known from the context or B is the set of all alternatives A , the respective subscript will be omitted to improve readability. We further write $T|_B = (B, \{(a, b) \in B \times B : a > b\})$ for the restriction of T to B .

The *order* $|T|$ of a tournament $T = (A, >)$ refers to the cardinality of A , and \mathcal{T}_n denotes the set of all tournaments with at most n alternatives, i.e.,

$$\mathcal{T}_n = \{T \in \mathcal{T}(X) : |T| \leq n\}.$$

For Φ a predicate bearing on tournaments, we write “ Φ in \mathcal{T}_n ” as a shorthand for “ $\Phi(T)$ holds for all $T \in \mathcal{T}_n$.”

A *tournament isomorphism* of two tournaments $T = (A, >)$ and $T' = (A', >')$ is a bijection $\pi: A \rightarrow A'$ such that for all $a, b \in A$, $a > b$ if and only if $\pi(a) >' \pi(b)$. A tournament $(A, >)$ can be conveniently represented as a directed graph with vertex set A and edge set $\{(a, b) : a > b\}$. See Figure 1 for an example.

2.2 Components and Products

An important structural notion in the context of tournaments is that of a *component*. A component is a subset of alternatives that bear the same relationship to all alternatives not in the set.

Definition 1 Let $T = (A, >)$ be a tournament. A nonempty subset B of A is a *component* of T if for all $a \in A \setminus B$, either $B > \{a\}$ or $\{a\} > B$.

For a given tournament \tilde{T} , a new tournament T can be constructed by replacing each alternative with a component. For notational convenience, we tacitly assume that $\mathbb{N} \subseteq X$.

² This definition slightly diverges from the common graph-theoretic definition where $>$ is defined on A rather than X . However, it facilitates the sound definition of tournament solutions.

Definition 2 Let $B_1, \dots, B_k \subseteq X$ be pairwise disjoint sets and consider tournaments $\tilde{T} = (\{1, \dots, k\}, \succsim)$ and $T_1 = (B_1, \succ_1), \dots, T_k = (B_k, \succ_k)$. The *product* of T_1, \dots, T_k with respect to \tilde{T} , denoted by $\Pi(\tilde{T}, T_1, \dots, T_k)$, is the tournament (A, \succ) such that $A = \bigcup_{i=1}^k B_i$ and for all $b_1 \in B_i, b_2 \in B_j$,

$$b_1 \succ b_2 \quad \text{if and only if} \quad i = j \text{ and } b_1 \succ_i b_2, \text{ or } i \neq j \text{ and } i \succsim j.$$

2.3 Tournament Solutions

A *Condorcet winner* in a tournament is an alternative that dominates every other alternative. Let $\text{Cond}(T)$ denote the set of Condorcet winners of $T = (A, \succ)$, i.e., $\text{Cond}(T) = \{a \in A : a \succ b \text{ for all } b \in A \setminus \{a\}\}$. Due to the asymmetry of the dominance relation, every tournament contains at most one Condorcet winner.

Since the dominance relation may contain cycles and thus fail to have a Condorcet winner, a variety of concepts have been suggested to take over the role of singling out the “best” alternatives of a tournament. Formally, a *tournament solution* S is defined as a function that associates with each tournament $T = (A, \succ)$ a nonempty subset $S(T)$ of A , and S is *non-trivial* if there exists a tournament $T = (A, \succ)$ such that $S(T)$ is a *strict* subset of A .

Following Laslier (1997), we define tournament solutions to be independent of alternatives outside the tournament and invariant under tournament isomorphisms. Furthermore, non-trivial tournament solutions are required to exclusively select the Condorcet winner whenever it exists.

Definition 3 A *tournament solution* is a function $S : \mathcal{T}(X) \rightarrow \mathcal{F}(X)$ such that

- (i) $S(T) = S(T') \subseteq A$ for all tournaments $T = (A, \succ)$ and $T' = (A, \succ')$ such that $T|_A = T'|_A$;
- (ii) $S((\pi(A), \succ')) = \pi(S((A, \succ)))$ for all tournaments (A, \succ) and (A', \succ') and bijections $\pi : A \rightarrow A'$ such that π is a tournament isomorphism of (A, \succ) and (A', \succ') ; and
- (iii) $S(T) = \text{Cond}(T)$ whenever S is non-trivial and $\text{Cond}(T) \neq \emptyset$.

The conditions of Definition 3 are trivially satisfied if one invariably selects the set of all alternatives. The corresponding tournament solution TRIV is obtained by letting $\text{TRIV}((A, \succ)) = A$ for every tournament (A, \succ) . The *top cycle* $\text{TC}(T)$ of a tournament $T = (A, \succ)$ is defined as the smallest set $B \subseteq A$ such that $B \succ A \setminus B$. Uniqueness of such a set is straightforward and was first shown by Good (1971). The *Copeland set* $\text{CO}(T)$ consists of all alternatives whose dominion is of maximal size, i.e., $\text{CO}(T) = \arg \max_{a \in A} |D(a)|$.

For two tournament solutions S and S' , we write $S' \subseteq S$, and say that S' is a *refinement* of S , if $S'(T) \subseteq S(T)$ for all tournaments T . For example, it can easily be checked that $\text{CO} \subseteq \text{TC} \subseteq \text{TRIV}$. To avoid cluttered notation, we write $S(A, \succ)$ instead of $S((A, \succ))$ for a tournament (A, \succ) . Furthermore, we frequently write $S(B)$ instead of $S(B, \succ)$ for a subset $B \subseteq A$ of alternatives, if the dominance relation \succ is known from the context.

3 Retentive Sets

Motivated by cooperative majority voting, Schwartz (1990) introduced a tournament solution based on a notion he calls *retentiveness*. The intuition behind retentive sets is that alternative a is only “properly” dominated by alternative b if b is chosen among a ’s dominators by some underlying tournament solution S . A set of alternatives is then called S -retentive if none of its elements is properly dominated by some alternative outside the set.

Definition 4 Let S be a tournament solution and $T = (A, >)$ a tournament. Then, $B \subseteq A$ is S -retentive in T if $B \neq \emptyset$ and $S(\overline{D}(b)) \subseteq B$ for all $b \in B$ such that $\overline{D}(b) \neq \emptyset$. The set of S -retentive sets for a given tournament $T = (A, >)$ will be denoted by $\mathcal{R}_S(T)$, i.e., $\mathcal{R}_S(T) = \{B \subseteq A : B \text{ is } S\text{-retentive in } T\}$.

Fix an arbitrary tournament solution S . Since the set of all alternatives is trivially S -retentive, S -retentive sets are guaranteed to exist. If a Condorcet winner exists, it must clearly be contained in any S -retentive set. The union of all (inclusion-)minimal S -retentive sets thus defines a tournament solution.

Definition 5 Let S be a tournament solution. Then, the tournament solution \mathring{S} is given by

$$\mathring{S}(T) = \bigcup_{\subseteq} \min(\mathcal{R}_S(T)).$$

Consider for example the tournament solution $TRIV$, which always selects the set of all alternatives. It is easily verified that there always exists a *unique* minimal $TRIV$ -retentive set, and that in fact $TRIV = TC$. See Figure 1 for an example tournament.

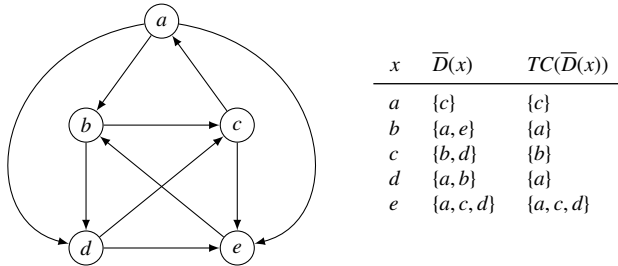


Fig. 1 Example tournament $T = (\{a, b, c, d, e\}, >)$ with $TRIV(T) = TC(T) = \{a, b, c, d, e\}$ and $T^{\circ}(T) = \{a, b, c\}$. $\mathcal{R}_{TC}(T)$ contains the sets $\{a, b, c\}$, $\{a, b, c, d\}$, and $\{a, b, c, d, e\}$.

For a tournament solution S , we say that \mathcal{R}_S is *pairwise intersecting* if for each tournament T and for all sets $B, C \in \mathcal{R}_S(T)$, $B \cap C \neq \emptyset$. Observe that the nonempty intersection of any two S -retentive sets is itself S -retentive. We thus have the following.

Proposition 1 For every tournament solution S , \mathcal{R}_S admits a unique minimal element if and only if \mathcal{R}_S is pairwise intersecting.

Schwartz introduced retentiveness in order to recursively define the *tournament equilibrium set* (TEQ) as the union of minimal TEQ -retentive sets. This recursion is well-defined because the order of the dominator set of any alternative is strictly smaller than the order of the original tournament.

Definition 6 (Schwartz, 1990) The *tournament equilibrium set* (TEQ) is defined recursively as $TEQ = \overset{\circ}{TEQ}$.

In other words, TEQ is the unique fixed point of the \circ -operator. In the tournament of Figure 1, TEQ coincides with $\overset{\circ}{TC}$. Schwartz conjectured that every tournament admits a *unique* minimal TEQ -retentive set. This conjecture was recently disproved by a non-constructive argument using the probabilistic method (Brandt et al., 2013). While this proof showed the *existence* of a counter-example, no concrete counter-example (or even the exact size of one) is known. We let n_{TEQ} denote the largest number n such that \mathcal{T}_n does *not* contain a counter-example.

Definition 7 n_{TEQ} denotes the largest integer n such that \mathcal{R}_{TEQ} is pairwise intersecting in \mathcal{T}_n .

Only very rough bounds on n_{TEQ} are known. The proof of Brandt et al. (2013) yields $n_{TEQ} \leq 10^{136}$, and an exhaustive computer analysis has shown that $n_{TEQ} \geq 12$ (Brandt et al., 2010).

It turns out that the existence of a *unique* minimal S -retentive set is quintessential for showing that $\overset{\circ}{S}$ satisfies several important properties to be defined in the next section. Although minimal TEQ -retentive sets are not unique in general, it was shown by Laffond et al. (1993a) and Houy (2009a,b) that TEQ satisfies these properties *for all tournaments in $\mathcal{T}_{n_{TEQ}}$* .

The \circ -operator can also be applied iteratively. Inductively define

$$S^{(0)} = S \quad \text{and} \quad S^{(k+1)} = \overset{\circ}{S^{(k)}},$$

and consider the sequence $(S^{(n)})_{n \in \mathbb{N}_0} = (S^{(0)}, S^{(1)}, S^{(2)}, \dots)$. We say that $(S^{(n)})_n$ *converges* to a tournament solution S' if for each tournament T , there exists $k_T \in \mathbb{N}_0$ such that $S^{(n)}(T) = S'(T)$ for all $n \geq k_T$. It turns out that the limit of all these sequences is TEQ .

Theorem 1 *Every tournament solution converges to TEQ .*

Proof Let S be a tournament solution. We show by induction on n that

$$S^{(n-1)}(T) = TEQ(T).$$

for all tournaments $T \in \mathcal{T}_n$. The case $n = 1$ is trivial. For the induction step, let $T = (A, >)$ be a tournament of order $|A| = n + 1$. We have to show that $S^{(n)}(T) = TEQ(T)$. Since $S^{(n)}$ is defined as the union of all minimal $S^{(n-1)}$ -retentive sets, it suffices to show that a subset $B \subseteq A$ is $S^{(n-1)}$ -retentive if and only if it is TEQ -retentive. We have the following chain of equivalences:

$$\begin{aligned} B \text{ is } S^{(n-1)}\text{-retentive} & \text{ iff for all } b \in B, S^{(n-1)}(\overline{D}(b)) \subseteq B \\ & \text{ iff for all } b \in B, TEQ(\overline{D}(b)) \subseteq B \\ & \text{ iff } B \text{ is } TEQ\text{-retentive.} \end{aligned}$$

In particular, the second equivalence follows from the induction hypothesis, since obviously $|\bar{D}(a)| \leq n$ for all $a \in A$. \square

4 Properties of Tournament Solutions Based on Retentiveness

In order to compare tournament solutions with one another, a number of desirable properties have been identified. In this section, we review five of the most common properties—*monotonicity*, *independence of unchosen alternatives*, *the weak and strong superset properties*, and $\widehat{\gamma}$ —and investigate which of them are inherited from S to \dot{S} or from \dot{S} to S . We furthermore show that *composition-consistency* is never inherited.

4.1 Basic Properties

A tournament solution is monotonic if a chosen alternative remains in the choice set when its dominion is enlarged, while everything else remains unchanged. It is independent of unchosen alternatives if the choice set is invariant under any modification of the dominance relation among the alternatives that are not chosen. A tournament solution satisfies the weak superset property if no new alternatives are chosen when unchosen alternatives are removed, and the strong superset property if in this case the choice set remains unchanged. Finally, $\widehat{\gamma}$ requires that if a the same set of alternatives is selected in two subtournaments $(B_1, >)$ and $(B_2, >)$ of the same tournament $(A, >)$, then this set is also selected in the tournament $(B_1 \cup B_2, >)$.³ Formally, we have the following definitions.⁴

Definition 8 Let S be a tournament solution.

- (i) S satisfies *monotonicity* (MON) if for all $a \in A$, $a \in S(T)$ implies $a \in S(T')$ for all tournaments $T = (A, >)$ and $T' = (A, >')$ such that $T|_{A \setminus \{a\}} = T'|_{A \setminus \{a\}}$ and $D_{>}(a) \subseteq D_{>'}(a)$.
- (ii) S satisfies *independence of unchosen alternatives* (IUA) if $S(T) = S(T')$ for all tournaments $T = (A, >)$ and $T' = (A, >')$ such that $T|_{S(T) \cup \{a\}} = T'|_{S(T) \cup \{a\}}$ for all $a \in A$.
- (iii) S satisfies the *weak superset property* (WSP) if $S(B) \subseteq S(A)$ for all tournaments $(A, >)$ and $B \subseteq A$ such that $S(A) \subseteq B$.
- (iv) S satisfies the *strong superset property* (SSP) if $S(B) = S(A)$ for all tournaments $(A, >)$ and $B \subseteq A$ such that $S(A) \subseteq B$.
- (v) S satisfies $\widehat{\gamma}$ if $S(B_1) = S(B_2)$ implies $S(B_1 \cup B_2) = S(B_1) = S(B_2)$ for all tournaments $(A, >)$ and all $B_1, B_2 \subseteq A$.

³ $\widehat{\gamma}$ is a variant of the better-known expansion property γ , which, together with Sen's α , figures prominently in the characterization of rationalizable choice functions (Brandt and Harrenstein, 2011).

⁴ Our terminology differs slightly from those of Laslier (1997) and others. *Independence of unchosen alternatives* is also called *independence of the losers* or *independence of non-winners*. The *weak superset property* has been referred to as ϵ^+ or as the *Aizerman property*.

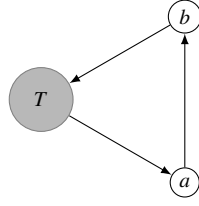


Fig. 2 Tournament $C(T, I_a, I_b)$ for a given tournament T . The gray circle represents a component isomorphic to the original tournament T . An edge incident to a component signifies that there is an edge of the same direction incident to each alternative in the component.

The five properties just defined—MON, IUA, WSP, SSP, and $\widehat{\gamma}$ —will be called *basic properties* of tournament solutions. Observe that SSP implies WSP. Furthermore, the conjunction of MON and SSP implies IUA. To prove that a tournament solution satisfies all basic properties it is therefore sufficient to show that it satisfies MON, SSP, and $\widehat{\gamma}$.

While *TRIV* trivially satisfies all basic properties, more discriminative tournament solutions often fail to satisfy some of them. For example, the Copeland set and the Slater set only satisfy MON and the Banks set (*BA*) and the uncovered set (*UC*) only satisfy MON and WSP. Dutta's minimal covering set (*MC*), on the other hand, satisfies all basic properties.⁵ The same holds for *TEQ* for all tournaments in \mathcal{T}_{NTEQ} (Laffond et al., 1993a; Houy, 2009a,b).

4.2 Inheritance of Basic Properties

When studying the inheritance of properties from S to \mathring{S} and vice versa, we will make use of the following particular type of decomposable tournament. Let $C_3 = (\{1, 2, 3\}, >)$ with $1 > 2 > 3 > 1$, and let I_x be the unique tournament on $\{x\}$. For three tournaments T_1 , T_2 , and T_3 on disjoint sets of alternatives, let $C(T_1, T_2, T_3)$ be their product with respect to C_3 , i.e.,

$$C(T_1, T_2, T_3) = \Pi(C_3; T_1, T_2, T_3).$$

Figure 2 illustrates the structure of $C(T, I_a, I_b)$ for a given tournament T . We have the following lemma.

Lemma 1 *Let S be a tournament solution. Then, for each tournament $T = (A, >)$ and $a, b \notin A$,*

$$\mathring{S}(C(T, I_a, I_b)) = \{a, b\} \cup S(T).$$

Proof Let $B = \mathring{S}(C(T, I_a, I_b))$ and observe that $B \cap A \neq \emptyset$, because neither $\{a, b\}$ nor any of its subsets is S -retentive. Since a is the Condorcet winner in $\overline{D}(b) = \{a\}$ and b is the Condorcet winner in $\overline{D}(c)$ for any $c \in B \cap A$, by S -retentiveness of B we have that $a \in B$ and $b \in B$. Also by retentiveness of B , we have $S(\overline{D}(a)) = S(T) \subseteq B$. We have thus shown that every S -retentive set must contain $\{a, b\} \cup S(T)$, and that $\{a, b\} \cup S(T)$ is itself S -retentive. \square

⁵ See, e.g., Laslier (1997) for definitions of these tournament solutions.

We are now ready to show that a number of desirable properties are inherited from \mathring{S} to S .

Theorem 2 *Let S be a tournament solution. Then each of the five basic properties is satisfied by S if it is satisfied by \mathring{S} .*

Proof We show the following: if S violates one of the five basic properties MON, IUA, WSP, SSP, or $\widehat{\gamma}$, then \mathring{S} violates the same property. Observe that if S violates any of these properties, this is witnessed by a tournament $T = (A, >)$ that serves as a counter-example. In the case of SSP (or WSP), there exists a set $B \subset A$ such that $S(A) \subseteq B \subset A$ and $S(B) \neq S(A)$ (or $S(B) \not\subseteq S(A)$, respectively). In the case of MON, there exists $a \in S(T)$ such that $a \notin S(T')$ for a tournament $T' = (A, >')$ that satisfies $T|_{A \setminus \{a\}} = T'|_{A \setminus \{a\}}$ and $D_{>}(a) \subseteq D_{>'}(a)$. In the case of IUA, $S(T) \neq S(T')$ for a tournament $T' = (A, >')$ that satisfies $T|_{S(T) \cup \{a\}} = T'|_{S(T) \cup \{a\}}$ for all $a \in A$. In the case of $\widehat{\gamma}$, there exist subsets $B_1, B_2 \subseteq A$ such that $S(B_1) = S(B_2)$ and $S(B_1 \cup B_2) \neq S(B_1)$.

It thus suffices to show how a counter-example T for S can be transformed into a counter-example T' for \mathring{S} . Let $a, b \notin A$ and define $T' = C(T, I_a, I_b)$. Lemma 1 implies that $\mathring{S}(T') = \{a, b\} \cup S(T)$. Hence, tournament T' constitutes a counter-example for \mathring{S} . \square

If \mathcal{R}_S is pairwise intersecting, a similar statement holds for the opposite direction. The proof of the following result can be found in the appendix. The conjunction of two properties P and Q is denoted by $P \wedge Q$.

Theorem 3 *Let S be a tournament solution such that \mathcal{R}_S is pairwise intersecting, and let P be any of the properties SSP, WSP, IUA, $\text{MON} \wedge \text{SSP}$, or $\widehat{\gamma} \wedge \text{SSP}$. Then, P is satisfied by S if and only if it is satisfied by \mathring{S} .*

We proceed by identifying tournament solutions for which Theorem 3 can be applied. The following lemma will be useful.

Lemma 2 *Let S_1 and S_2 be tournament solutions such that $S_1 \subseteq S_2$ and \mathcal{R}_{S_1} is pairwise intersecting. Then, \mathcal{R}_{S_2} is pairwise intersecting and $\mathring{S}_1 \subseteq \mathring{S}_2$.*

Proof First observe that $S_1 \subseteq S_2$ implies that every S_2 -retentive set is S_1 -retentive. Now assume for contradiction that \mathcal{R}_{S_2} is not pairwise intersecting and consider a tournament $(A, >)$ with two disjoint S_2 -retentive sets $B, C \subseteq A$. Then, by the above observation, B and C are S_1 -retentive, which contradicts the assumption that \mathcal{R}_{S_1} is pairwise intersecting.

Furthermore, for every tournament T , $\mathring{S}_2(T)$ is S_1 -retentive and thus contains the unique minimal S_1 -retentive set, i.e., $\mathring{S}_1(T) \subseteq \mathring{S}_2(T)$. \square

Theorem 4 *Let S be a tournament solution such that $TEQ \subseteq S$ in $\mathcal{T}_{n_{TEQ}}$. Then, $\mathcal{R}_{S^{(k)}}$ is pairwise intersecting in $\mathcal{T}_{n_{TEQ}}$ for all $k \in \mathbb{N}_0$.*

Proof We first prove by induction on k that, for all $k \in \mathbb{N}_0$, $TEQ \subseteq S^{(k)}$ in $\mathcal{T}_{n_{TEQ}}$. The case $k = 0$ holds by assumption. Now let T be a tournament in $\mathcal{T}_{n_{TEQ}}$ and suppose that $TEQ(T) \subseteq S^{(k)}(T)$ for some $k \in \mathbb{N}_0$. By definition, $S^{(k+1)}(T)$ is $S^{(k)}$ -retentive. We can thus apply the induction hypothesis to obtain that $S^{(k+1)}(T)$ is TEQ -retentive. Since

the minimal TEQ -retentive set of T is unique, it is contained in any TEQ -retentive set, and we have that $TEQ(T) \subseteq S^{(k+1)}(T)$. This proves that $TEQ(T) \subseteq S^{(k)}(T)$ for all $T \in \mathcal{T}_{n_{TEQ}}$ and all $k \in \mathbb{N}_0$.

We can now apply Lemma 2 with $S_1 = TEQ$ and $S_2 = S^{(k)}$ to show that $\mathcal{R}_{S^{(k)}}$ is pairwise intersecting in $\mathcal{T}_{n_{TEQ}}$ for all $k \in \mathbb{N}_0$. \square

Among the tournament solutions that satisfy the conditions of Theorem 4 are $TRIV$, TC , MC , UC , and BA (see the proof of Theorem 5 in Section 5).

4.3 Composition-Consistency

We conclude this section by showing that, among all tournament solutions that are defined as the union of all minimal retentive sets with respect to some tournament solution, TEQ is the only one that is *composition-consistent*. A tournament solution is composition-consistent if it chooses the “best” alternatives from the “best” components.

Definition 9 A tournament solution S is *composition-consistent* if for all tournaments T, T_1, \dots, T_k , and $\tilde{T} = (\{1, \dots, k\}, \tilde{\succ})$ such that $T = \Pi(\tilde{T}, T_1, \dots, T_k)$,

$$S(T) = \bigcup_{i \in S(\tilde{T})} S(T_i).$$

Tournament solutions satisfying this property include $TRIV$, UC , BA , and TEQ . However, \mathring{S} is not composition-consistent unless S equals TEQ .

Proposition 2 Let S be a tournament solution. Then, \mathring{S} is composition-consistent if and only if $S = TEQ$.

Proof It is well-known that TEQ is composition-consistent (Laffond et al., 1996). For the direction from left to right, let S be a tournament solution different from TEQ , and assume that \mathring{S} is composition-consistent. Since TEQ is the only tournament solution S' such that $S' = \mathring{S}'$, there has to exist a tournament $T = (A, \succ)$ such that $S(T) \neq \mathring{S}(T)$. Let $a, b \notin A$, and define $T^* = C(T, I_a, I_b)$. By Lemma 1,

$$\mathring{S}(T^*) = \{a, b\} \cup S(T).$$

On the other hand, by composition-consistency of \mathring{S} ,

$$\mathring{S}(T^*) = \mathring{S}(T) \cup \mathring{S}(I_a) \cup \mathring{S}(I_b) = \{a, b\} \cup \mathring{S}(T).$$

It follows that $S(T) = \mathring{S}(T)$, a contradiction. \square

Remark 1 The *composition-consistent hull* of a tournament solution S , denoted by S^* , is defined as the inclusion-minimal tournament solution that is composition-consistent and contains S (Laffond et al., 1996). It can be shown that $(\mathring{S})^* = S^*$ for all tournament solutions S that satisfy $\mathring{S} \subseteq S$.

5 Convergence to TEQ

By Theorem 1, every tournament solution converges to TEQ . Particularly well-behaved types of convergence are those that either yield larger and larger subsets of TEQ or smaller and smaller supersets of TEQ . The problem with the former type is that no natural refinement of TEQ is known and it is doubtful whether any such refinement would be efficiently computable. The latter type, however, turns out to be particularly useful.

Call a sequence $(S^{(n)})_{n \in \mathbb{N}_0}$ *contracting* if for all $k \in \mathbb{N}_0$, $S^{(k+1)} \subseteq S^{(k)}$. Intuitively, the elements of such a sequence constitute better and better “approximations” of TEQ . The following lemma identifies a sufficient condition for a sequence to be contracting.

Lemma 3 *Let S be a tournament solution such that $TEQ \subseteq S$ in $\mathcal{T}_{n_{TEQ}}$. If $\mathring{S} \subseteq S$ in $\mathcal{T}_{n_{TEQ}}$, then $S^{(k+1)} \subseteq S^{(k)}$ in $\mathcal{T}_{n_{TEQ}}$ for all $k \in \mathbb{N}_0$.*

Proof We prove the statement by induction on k for all tournaments in $\mathcal{T}_{n_{TEQ}}$. $\mathring{S} \subseteq S$ holds by assumption. Now suppose that $S^{(k)} \subseteq S^{(k-1)}$ for some $k \in \mathbb{N}_0$. As in the proof of Theorem 4, one can show that $TEQ \subseteq S^{(k)}$. Applying Lemma 2 with $S_1 = TEQ$ and $S_2 = S^{(k)}$ yields that $\mathcal{R}_{S^{(k)}}$ is pairwise intersecting. Therefore, we can apply Lemma 2 again, this time with $S_1 = S^{(k)}$ and $S_2 = S^{(k-1)}$, which gives $S^{(k+1)} \subseteq S^{(k)}$. \square

Theorem 5 *For all tournaments with at most n_{TEQ} alternatives, the tournament solutions $TRIV$, TC , MC , UC , and BA give rise to contracting sequences.*

Proof Since $TRIV$ obviously satisfies the assumptions of Lemma 3, $(TRIV^{(n)})_n$ and $(TC^{(n)})_n$ are contracting. MC satisfies the assumptions because $TEQ \subseteq MC$ in $\mathcal{T}_{n_{TEQ}}$ (Laffond et al., 1993a) and $\mathring{MC} \subseteq MC$ in $\mathcal{T}_{n_{TEQ}}$ (Brandt, 2011). $TEQ \subseteq BA$ was shown by Schwartz (1990), and $TEQ \subseteq UC$ follows from $BA \subseteq UC$. It thus remains to be shown that $\mathring{UC} \subseteq UC$ and $\mathring{BA} \subseteq BA$.

A tournament solution S satisfies *strong retentiveness* if the choice set of every dominator set is contained in the original choice set, i.e., if $S(\overline{D}(a)) \subseteq S(A)$ for all $a \in A$ (Brandt, 2011). It is easy to see that $\mathring{S} \subseteq S$ for every tournament solution S that satisfies strong retentiveness. Indeed, for an arbitrary tournament T , strong retentiveness implies that $S(T)$ is S -retentive and that there do not exist any S -retentive sets disjoint from $S(T)$. Since both UC and BA satisfy strong retentiveness (Brandt, 2011), this completes the proof. \square

Remark 2 One might wonder if MC is contained in the sequence $(TRIV^{(n)})_n$. It is easy to see that this is not the case: while MC is known to be composition-consistent (see Laffond et al., 1996), Proposition 2 shows that this is not the case for any $TRIV^{(k)}$ with $k \geq 1$.

Remark 3 For a given tournament solution S , one may further want to compare the sequence $(S^{(n)})_{n \in \mathbb{N}_0}$ with the corresponding sequence $(S^n)_{n \in \mathbb{N}}$ generated by the repeated application of S . Formally, $S^k(T) = S(S^{k-1}(T))$ where $S^1(T) = S(T)$. Since SSP implies that $S^n = S$ for all $n \in \mathbb{N}$, UC and BA are the only tournament solutions covered by Theorem 5 for which such a comparison makes sense. It turns out that for

both UC and BA , the sequences $(S^{(n)})_{n \in \mathbb{N}_0}$ and $(S^n)_{n \in \mathbb{N}}$ are incomparable in the sense that for all $n \in \mathbb{N}$, neither $S^{(n)} \subseteq S^2$ nor $S^n \subseteq S$.

5.1 Iterations to Convergence

We may ask how many iterated applications of the \circ -operator are needed until we arrive at TEQ . While we have seen that every tournament solution converges to TEQ , it turns out that no solution other than TEQ itself does so in a finite number of steps. More precisely, the number of iterations required to reach TEQ increases with the order of a tournament and can not be bounded by a constant independent of the order.

For a tournament solution S , let $k_S(n)$ be the smallest $k \in \mathbb{N}_0$ such that $S^{(k)}(T) = TEQ(T)$ for all tournaments $T \in \mathcal{T}_n$.⁶

Proposition 3 *Let $S \neq TEQ$ be a tournament solution and let n_0 be the order of a smallest tournament T with $S(T) \neq TEQ(T)$. Then, for every $n \in \mathbb{N}$,*

$$k_S(n) = \max\left(\left\lfloor \frac{n - n_0}{2} \right\rfloor + 1, 0\right).$$

Proof Let $f(n) = \max\left(\left\lfloor \frac{n - n_0}{2} \right\rfloor + 1, 0\right)$. Our goal is to prove that $f(n)$ is both an upper bound and a lower bound on $k_S(n)$.

For the former, we show that $S^{(f(n))}(T) = TEQ(T)$ for all $T \in \mathcal{T}_n$. Denote by $k_S(T)$ the smallest number k such that $S^{(k)}(T) = TEQ(T)$. Thus, $k_S(n) = \max_{T \in \mathcal{T}_n} k_S(T)$.

A *Condorcet loser* in $(A, >)$ is an alternative $a \in A$ such that $\overline{D}(a) = A \setminus \{a\}$. We claim that the following statements hold for every tournament solution S and every tournament T of order n :

- (i) If T has a Condorcet loser, then $k_S(T) \leq k_S(n - 1)$.
- (ii) If T has no Condorcet loser, then $k_S(T) \leq k_S(n - 2) + 1$.

For (i), let a be a Condorcet loser in $T = (A, >)$. Then,

$$S^{(k_S(n-1))}(T) = S^{(k_S(n-1))}(A \setminus \{a\}) = TEQ(A \setminus \{a\}) = TEQ(T).$$

The first and the third equality follow from the observations that no minimal retentive set contains a and that a set $B \subseteq A \setminus \{a\}$ is retentive in T if and only if it is retentive in $(A \setminus \{a\}, >)$. The second equality is a direct consequence of the definition of k_S . For (ii), assume that $T = (A, >)$ does not have a Condorcet loser. It follows that $|\overline{D}(a)| \leq n - 2$ for all $a \in A$. Similar reasoning as in the proof of Theorem 1 implies that a set $B \subseteq A$ is $S^{(k_S(n-2))}$ -retentive if and only if B is TEQ -retentive. Thus, $S^{(k_S(n-2)+1)}(T) = TEQ(T)$.

We are now ready to show that $k_S(n) \leq f(n)$ by induction on n . For $n \leq n_0$, $k_S(n) = 0$. Now assume that $k_S(m) \leq f(m)$ holds for every $m < n$, and consider a tournament T of order n . If T has a Condorcet loser, (i) implies that $k_S(T) \leq k_S(n - 1) \leq f(n - 1)$, where the latter inequality follows from the induction hypothesis. If, on the other hand, T does not have a Condorcet loser, (ii) implies that $k_S(T) \leq k_S(n - 2) + 1 \leq f(n - 2) + 1$. Thus, $k_S(n) \leq \max(f(n - 1), f(n - 2) + 1) = f(n - 2) + 1$. A simple calculation shows that $f(n - 2) + 1 = f(n)$ as desired.

⁶ It can easily be shown that $S^{(\ell)}(T) = TEQ(T)$ for all $T \in \mathcal{T}_n$ and $\ell \geq k_S(n)$.

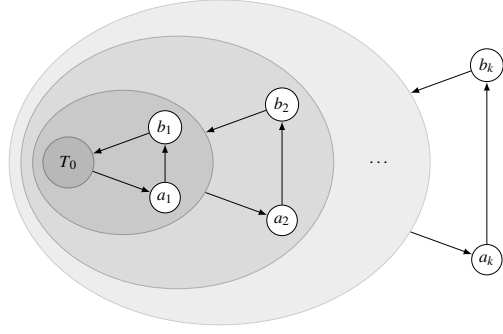


Fig. 3 Tournament T_k used in the proof of Proposition 3.

In order to show that $k_S(n) \geq f(n)$, we inductively define a family of tournaments T_0, T_1, T_2, \dots such that $S^{(f(T_k)-1)}(T_k) \neq TEQ(T_k)$. Let $T_0 = (A_0, >)$ be a smallest tournament such that $S(T_0) \neq TEQ(T_0)$. By definition, $|A_0| = n_0$. Given $T_{k-1} = (A_{k-1}, >)$, let $T_k = C(T_{k-1}, I_{a_k}, I_{b_k})$, where $a_k, b_k \notin A_{k-1}$ are two new alternatives. Observe that $A_k = A_0 \cup \bigcup_{\ell=1}^k \{a_\ell, b_\ell\}$. The structure of T_k is illustrated in Figure 3. Repeated application of Lemma 1 yields

$$\begin{aligned} S^{(k)}(T_k) &= \{a_k, b_k\} \cup S^{(k-1)}(T_{k-1}) \\ &= \{a_k, b_k\} \cup \{a_{k-1}, b_{k-1}\} \cup S^{(k-2)}(T_{k-2}) \\ &= \dots \\ &= \bigcup_{\ell=1}^k \{a_\ell, b_\ell\} \cup S(T_0). \end{aligned}$$

Since $S(T_0) \neq TEQ(T_0)$, we have that $S^{(k)}(T_k) \neq TEQ^{(k)}(T_k) = TEQ(T_k)$.

We have thus shown that $k_S(n_k) > k$, where $n_k = |A_k|$ is the order of tournament T_k . By definition of T_k , $n_k = n_0 + 2k$, so $k_S(n_k) > k$ implies $k_S(n) > \frac{n-n_0}{2}$ for all $n \geq n_0$ such that $n - n_0$ is even. For the case when $n - n_0$ is odd, i.e., when $n = n_0 + 2k + 1$ for some $k \in \mathbb{N}_0$, consider the tournament $T'_k = (A_{k+1} \setminus \{b_{k+1}\}, >)$ with $T'_k|_{A_{k+1} \setminus \{b_{k+1}\}} = T_{k+1}|_{A_{k+1} \setminus \{b_{k+1}\}}$. This tournament of order n has a_{k+1} as a Condorcet loser. Thus, $S^{(k)}(T'_k) = S^{(k)}(T_k) \neq TEQ(T_k) = TEQ(T'_k)$. This implies that $k_S(n_0 + 2k + 1) > k$, or, equivalently, $k_S(n) > \lfloor \frac{n-n_0}{2} \rfloor$. \square

An easy corollary of Proposition 3 is that $k_S(n) \leq \lfloor \frac{n}{2} \rfloor$ for all tournament solutions. Since $TRIV$ and TEQ differ for every tournament with two alternatives, we immediately have $k_{TRIV}(n) = \lfloor \frac{n}{2} \rfloor$. Furthermore, Dutta (1990) constructed a tournament T of order 8 for which $MC(T) \neq TEQ(T)$, and thus $k_{MC}(n) = \max(\lfloor \frac{n}{2} \rfloor - 3, 0)$.

Remark 4 Convergence of the sequence $(S^{(n)})_n$ of tournament solutions should not be confused with convergence of the sequence $(S^{(n)}(T))_n$ of choice sets for a particular tournament T . In particular, $S^{(m)}(T) = S^{(m+1)}(T)$ does *not* imply $S^{(m')}(T) = S^{(m)}(T)$ for all $m' \geq m$. For example, the tournaments T_k constructed in the proof of Proposition 3 satisfy $TRIV^{(m)}(T_k) = TRIV^{(m')}(T_k) \neq TEQ(T_k)$ for all $m, m' < k_{TRIV}(n_k)$.

Consequently, it might be impossible to *recognize* convergence of $(S^{(n)}(T))_n$ within less than $k_S(|T|)$ iterations.

5.2 Computational Aspects

The sequences $(TRIV^{(n)})_n$ and $(MC^{(n)})_n$ appear particularly interesting: for all tournaments in \mathcal{T}_{nTEQ} , these sequences are contracting, and their members satisfy all basic properties. In addition, $TRIV$ and MC can be computed efficiently, and we might ask whether this also holds for $TRIV^{(n)}$ and $MC^{(n)}$ when $n \geq 1$. This turns out to be the case, as a consequence of the following more general result.

Proposition 4 \hat{S} is efficiently computable if and only if S is efficiently computable.

Proof We show that the computation of S and the computation of \hat{S} are equivalent under polynomial-time reductions.

To see that \hat{S} can be reduced to S , consider an arbitrary tournament $T = (A, >)$ and define the relation $R = \{(a, b) : a \in S(\overline{D}(b))\}$. It is easily verified that $\hat{S}(T)$ is the union of all minimal R -undominated sets⁷ or, equivalently, the maximal elements of the asymmetric part of the transitive closure of R . Observing that both R and the minimal R -undominated sets can be computed in polynomial time (see, e.g., Brandt et al., 2009, for the latter) completes the reduction.

For the reduction from S to \hat{S} , consider a tournament $T = (A, >)$ and define $T^* = C(T, I_a, I_b)$ for $a, b \notin A$. By Lemma 1, $S(T) = \hat{S}(T^*) \setminus \{a, b\}$. Clearly, T^* can be computed in polynomial time from T , and $S(T)$ can be computed in polynomial time from $\hat{S}(T^*)$. \square

This result does not imply that TEQ can be computed efficiently, despite the fact that both $TRIV$ and MC converge to TEQ . The obvious algorithm for computing $S^{(n)}(T)$ recursively computes $S^{(n-1)}$ for all dominator sets, the number and sizes of which can both be linear in $|T|$. By Proposition 3, the depth of the recursion can be linear in $|T|$ as well, which leads to an exponential number of steps. Brandt et al. (2010) have in fact shown that it is NP-hard to decide whether a given alternative is in TEQ , which is seen as strong evidence that TEQ cannot be computed efficiently by any algorithm. Nevertheless, Lemma 3 and Proposition 4 identify sequences of efficiently computable tournament solutions that provide better and better approximations of TEQ for all tournaments in \mathcal{T}_{nTEQ} .

6 Uniqueness of Minimal Retentive Sets

As shown in Section 4, uniqueness of minimal retentive sets plays an important role: if \mathcal{R}_S is pairwise intersecting, then \hat{S} inherits many desirable properties from S . It is therefore an interesting, and surprisingly difficult, question which tournament solutions are pairwise intersecting. In this section, we answer the question for the top cycle and the Copeland set.

⁷ A set $B \subseteq A$ is R -undominated if $(a, b) \in R$ for no $b \in B$ and $a \in A \setminus B$.

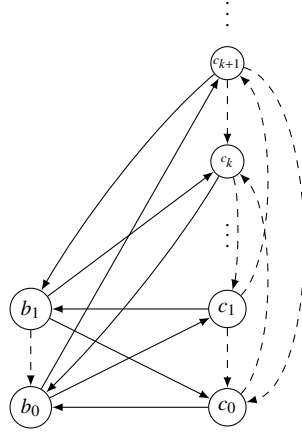


Fig. 4 Structure of a tournament with two disjoint TC -retentive sets (k is even). A dashed edge (a, b) indicates that $a \in TC(\overline{D}(b))$.

6.1 The Minimal TC -Retentive Set

We prove that every tournament has a unique minimal TC -retentive set, thus establishing \hat{TC} as an efficiently computable refinement of TC that satisfies all basic properties.

Theorem 6 \mathcal{R}_{TC} is pairwise intersecting.

Proof Consider an arbitrary tournament $(A, >)$, and assume for contradiction that B and C are two disjoint TC -retentive subsets of A . Let $b_0 \in B$ and $c_0 \in C$. Without loss of generality we may assume that $c_0 > b_0$. Then, $c_0 \in \overline{D}(b_0)$, and by TC -retentiveness of B there has to be some $b_1 \in B$ with $b_1 \in TC(\overline{D}(b_0))$ and $b_1 > c_0$. We claim that for each $m \geq 1$ there are $c_1, \dots, c_m \in C$ such that for all i and j with $0 \leq i < j \leq m$,

- (i) $c_{i+1} \in TC(\overline{D}(c_i))$;
- (ii) $b_0 > c_i$ and $c_i > b_1$ if i is odd, and $b_1 > c_i$ and $c_i > b_0$ otherwise; and
- (iii) $c_j > c_i$ if $j - i$ is odd, and $c_i > c_j$ otherwise.

To see that this claim implies the theorem, consider i and j with $0 \leq i < j \leq m$. Since the dominance relation is irreflexive, and by (iii), c_i and c_j must be distinct alternatives. This in turn implies that the size of C is unbounded, contradicting finiteness of A . The situation is illustrated in Figure 4.

The claim itself can be proved by induction on m . First consider the case $m = 1$. Since $b_1 > c_0$, and by TC -retentiveness of C , there has to be some $c_1 \in C$ with $c_1 \in TC(\overline{D}(c_0))$ and $c_1 > b_1$, showing (i). Furthermore, by TC -retentiveness of B , $c_1 \notin TC(\overline{D}(b_0))$ and thus $b_0 > c_1$. It follows that (ii) and (iii) hold as well.

Now assume that the claim holds for all $k \leq m$. We show that it also holds for $m + 1$.

Consider the case when $m + 1$ is even; the case when $m + 1$ is odd is analogous. By the induction hypothesis, $b_0 > c_m$. Hence, by TC -retentiveness of C , there has to

exist some $c_{m+1} \in C$ with $c_{m+1} \in TC(\overline{D}(c_m))$ and $c_{m+1} > b_0$, which together with the induction hypothesis implies (i).

Moreover, since $b_1 \in TC(\overline{D}(b_0))$ and $c_{m+1} \in \overline{D}(b_0)$, TC -retentiveness of B yields $b_1 > c_{m+1}$. With the induction hypothesis this proves (ii).

For (iii), consider an arbitrary $i \in \{1, \dots, m\}$, and first assume that i is odd. We have to prove that $c_{m+1} > c_i$. If $i = m$, this immediately follows from (i). If $i < m$, then by the induction hypothesis, $c_i > c_m$, $b_0 > c_i$, and $b_0 > c_m$. Hence, $\{c_{m+1}, c_i, b_0\} \subseteq \overline{D}(c_m)$. Moreover, as we have already shown, $c_{m+1} > b_0$. Assuming for contradiction that $c_i > c_{m+1}$, the three alternatives c_{m+1} , c_i , and b_0 would constitute a cycle in $\overline{D}(c_m)$. Since $c_{m+1} \in TC(\overline{D}(c_m))$, we would then have that $b_0 \in TC(\overline{D}(c_m))$, contradicting TC -retentiveness of C . Thus $c_i \not> c_{m+1}$. Since $c_{m+1} > b_0$ and $b_0 > c_i$, also $c_{m+1} \neq c_i$. Completeness of $>$ implies $c_{m+1} > c_i$.

Now assume that i is even. We have to prove that $c_i > c_{m+1}$. By the induction hypothesis, $c_m > c_i$ and $b_1 > c_i$. Assume for contradiction that $c_{m+1} > c_i$ and thus $c_{m+1} \in \overline{D}(c_i)$. Since $i + 1$ is odd, we already know that $c_{m+1} > c_{i+1}$. Furthermore, $c_{i+1} \in TC(\overline{D}(c_i))$, and thus $c_{m+1} \in TC(\overline{D}(c_i))$. However, $b_1 > c_{m+1}$ and $b_1 \in \overline{D}(c_i)$ imply that $b_1 \in TC(\overline{D}(c_i))$, contradicting TC -retentiveness of C . Therefore $c_{m+1} \not> c_i$. Since $c_{m+1} > c_m$ and $c_m > c_i$, we have $c_{m+1} \neq c_i$ and may conclude that $c_i > c_{m+1}$. By virtue of the induction hypothesis we are done. \square

Corollary 1 \mathring{TC} is efficiently computable and satisfies all basic properties. Furthermore, $\mathring{TC} \subseteq TC$.

Proof Efficient computability follows from Proposition 4 and the trivial observation that $TRIV$ can be computed efficiently. Since \mathcal{R}_{TC} is pairwise intersecting, \mathring{TC} inherits all basic properties from TC (Theorem 3). Finally, applying Lemma 2 with $S_1 = TC$ and $S_2 = TRIV$ yields $\mathring{TC} \subseteq TC$. \square

6.2 Copeland-Retentive Sets May Be Disjoint

For the Copeland set the situation turns out to be quite different: minimal CO -retentive sets are not always unique. Our proof makes use of a special class of tournaments called *cyclones*.

Definition 10 Let n be an odd integer and $A = \{a_0, \dots, a_{n-1}\}$ an ordered set of size $|A| = n$. The *cyclone on A* then is the tournament $(A, >)$ such that $a_i > a_j$ if and only if $j - i \bmod n \in \{1, \dots, \frac{n-1}{2}\}$.

We are now in a position to prove the following result.

Proposition 5 \mathcal{R}_{CO} is not pairwise intersecting.

Proof We construct a tournament T with 70 alternatives that can be partitioned into eight subsets A, B_0, \dots, B_6 . $A = \{a_0, \dots, a_6\}$ contains seven alternatives, whereas for each $k \in \{0, \dots, 6\}$, $B_k = \{b_0^k, \dots, b_8^k\}$ contains nine. First consider the tournament $\tilde{T} = (\{1, \dots, 14\}, \tilde{>})$, where $\tilde{T}|_{\{1, \dots, 7\}}$ and $\tilde{T}|_{\{8, \dots, 14\}}$ are cyclones on $\{1, \dots, 7\}$ and $\{8, \dots, 14\}$,

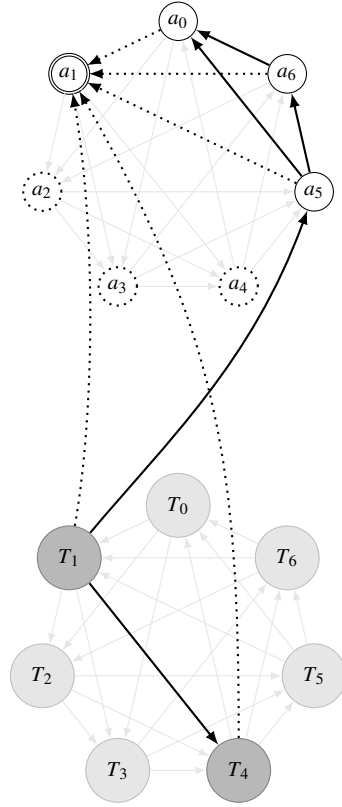


Fig. 5 Partial representation of the tournament T used in the proof of Proposition 5, illustrating that A is CO -retentive. The case shown is the one where $a_i = a_1$. The dotted edges indicate the dominators of a_1 , all missing edges in $(\bar{D}(a_1), >)$ point downward. It is easy to see that a_6 is the Copeland winner in $(\bar{D}(a_1), >)$.

respectively. For all i and j with $1 \leq i \leq 7$ and $8 \leq j \leq 14$, moreover, $j > i$ if and only if $j - i \in \{7, 10\}$. Now define T as the product

$$T = \Pi(\tilde{T}, I_{a_0}, \dots, I_{a_6}, T_0, \dots, T_6),$$

where for each $k \in \{0, \dots, 6\}$, T_k is the cyclone on B_k . Thus $B_j > \{a_i\}$ if $j \in \{i, i + 3 \bmod 7\}$ and $\{a_i\} > B_j$ otherwise.

We claim that both $A = \{a_0, \dots, a_6\}$ and $B = B_0 \cup \dots \cup B_6$ are CO -retentive in T . For better readability, we will henceforth write a_{x+y} for $a_{x+y \bmod 7}$, B_{x+y} for $B_{x+y \bmod 7}$, and b_{x+y}^k for $b_{x+y \bmod 9}^k$.

For CO -retentiveness of A , fix an arbitrary $i \in \{0, \dots, 6\}$ and consider $a_i \in A$. The dominators of a_i are given by

$$\bar{D}(a_i) = \{a_{i+4}, a_{i+5}, a_{i+6}\} \cup B_i \cup B_{i+3}.$$

Figure 5 illustrates the case where $a_i = a_1$. It is now readily appreciated that in $(\bar{D}(a_1), >)$, a_{i+5} is only dominated by a_{i+4} , whereas all other alternatives are dom-

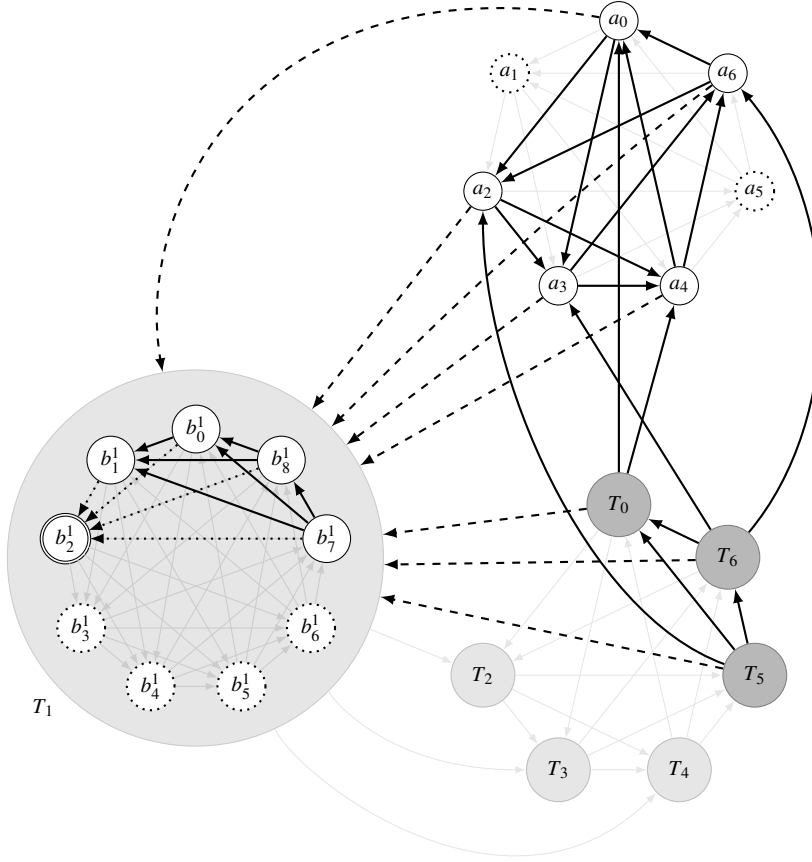


Fig. 6 Partial representation of the tournament T used in the proof of Proposition 5, illustrating that B is CO -retentive. The case shown is the one where $b_i^k = b_2^1$. The dotted and dashed edges indicate the dominators of b_2^1 . The dashed edges also represent (part of) the dominance relation inside $\overline{D}(b_2^1)$. All missing edges in $(\overline{D}(b_2^1), >)$ point downward. It is easy to see that the Copeland winners in $(\overline{D}(b_2^1), >)$ are exactly the alternatives in T_5 .

inated by at least two alternatives. Accordingly, $CO(\overline{D}(a_i)) = \{a_{i+5}\} \subseteq A$, which implies that A is CO -retentive in T .

For CO -retentiveness of $B = B_0 \cup \dots \cup B_6$, fix $k \in \{0, \dots, 6\}$ and $i \in \{0, \dots, 8\}$ arbitrarily and consider $b_i^k \in B_k$. The dominators of b_i^k are given by

$$\overline{D}(b_i^k) = \{b_{i+5}^k, b_{i+6}^k, b_{i+7}^k, b_{i+8}^k\} \cup \{a_{k+1}, a_{k+2}, a_{k+3}, a_{k+5}, a_{k+6}\} \cup B_{k+4} \cup B_{k+5} \cup B_{k+6}.$$

Figure 6 illustrates the case where $b_i^k = b_2^1$. We now find that $CO(\overline{D}(b_i^k)) = B_{k+4}$: each alternative $b \in B_{k+4}$ has a Copeland score of $4 + 9 + 9 + 4 + 1 = 27$, whereas each of

the alternatives in $\{a_{k+1}, a_{k+2}, a_{k+3}, a_{k+5}, a_{k+6}\}$ has a score of $2 + 4 + 9 + 9 = 24$ and all other alternatives in $\overline{D}(b_i^k)$ have a score of at most 19. It follows that B is CO -retentive in T . \square

Remark 5 The same construction can also be used to show that $\overset{\circ}{CO}$ is not monotonic, which establishes that monotonicity is not inherited in general. To see this, first observe that both A and B are *minimal* retentive sets in T , i.e., $\overset{\circ}{CO}(T) = A \cup B$. Now fix $k \in \{0, \dots, 6\}$ and $i \in \{0, \dots, 8\}$ arbitrarily and consider $b_i^k \in B_k$. Let T' be the tournament that is identical to T except that b_i^k is strengthened against all alternatives in B_{k+4} . For example, let $k = 1$. Then $T' = (A \cup B, >')$ with $T'|_{A \cup B \setminus \{b_i^1\}} = T|_{A \cup B \setminus \{b_i^1\}}$ and $\overline{D}_{>'}(b_i^1) = \overline{D}_{>}(b_i^1) \setminus B_5$. Since $T'|_{\overline{D}_{>'}(a)} = T|_{\overline{D}_{>}(a)}$ for all $a \in A$, the set A is a minimal CO -retentive set in T' . On the other hand, $CO(\overline{D}_{>'}(b_i^1)) = \{a_2\}$, which means that B is not CO -retentive in T' . Furthermore, no minimal CO -retentive set C can contain b_i^1 : every such set would also have to contain $CO(\overline{D}_{>'}(b_i^1)) = \{a_2\}$, and $C' = C \cap A$ would be a strictly smaller CO -retentive set. Thus $b_i^1 \notin \overset{\circ}{CO}(T')$.

7 Discussion

Starting with the trivial tournament solution, we have defined an infinite sequence of efficiently computable tournament solutions that, under certain conditions, are strictly contained in one another, strictly contain TEQ , and share most of its desirable properties. The implications of these findings are both of theoretical and practical nature.

From a practical point of view, we have outlined an anytime algorithm for computing TEQ that returns smaller and smaller supersets of TEQ , which furthermore satisfy standard properties suggested in the literature. Previous algorithms for TEQ (see, e.g., Brandt et al., 2010) are incapable of providing *any* useful information in general when stopped prematurely.

From a theoretical point of view, the new perspective on TEQ as the limit of an infinite sequence of tournament solutions may prove useful to improve our understanding of Schwartz's conjecture. In particular, it yields an infinite sequence of increasingly difficult conjectures, each of them a weaker version of that of Schwartz. We proved the second conjecture in this sequence. Now that Schwartz's conjecture itself has been shown to be false, a natural question is how many statements of this sequence still hold. As exemplified in this article, both proving and disproving this kind of conjectures turns out to be surprisingly difficult.

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Appendix: Proof of Theorem 3

Theorem 3 *Let S be a tournament solution such that \mathcal{R}_S is pairwise intersecting, and let \mathbf{P} be any of the properties SSP , WSP , IUA , $\text{MON} \wedge \text{SSP}$, or $\widehat{\gamma} \wedge \text{SSP}$. Then, \mathbf{P} is satisfied by S if and only if it is satisfied by \mathring{S} .*

Proof Assume that \mathcal{R}_S is pairwise intersecting. We need to show that each of the properties SSP , WSP , IUA , $\text{MON} \wedge \text{SSP}$, and $\widehat{\gamma} \wedge \text{SSP}$ is satisfied by S if and only if it is satisfied by \mathring{S} . The direction from right to left follows from Theorem 2. We now show that the properties are inherited from S to \mathring{S} .

Assume that S satisfies SSP . Let $T = (A, >)$ be a tournament, and consider an alternative $x \in A \setminus \mathring{S}(T)$. We need to show that $\mathring{S}(T') = \mathring{S}(T)$, where $T' = (A \setminus \{x\}, >)$. We will show that

$$S(\overline{D}_A(a)) = S(\overline{D}_{A \setminus \{x\}}(a)) \quad \text{for all } a \in \mathring{S}(T). \quad (1)$$

This implies that $\mathring{S}(T)$ is a minimal S -retentive set in T' . Since \mathcal{R}_S is assumed to be pairwise intersecting, $\mathring{S}(T)$ is indeed the *unique* minimal S -retentive set in T' , i.e., $\mathring{S}(T') = \mathring{S}(T)$.

To show (1), consider an arbitrary $a \in \mathring{S}(T)$. If $x \notin \overline{D}_A(a)$, then obviously $\overline{D}_A(a) = \overline{D}_{A \setminus \{x\}}(a)$ and thus $S(\overline{D}_A(a)) = S(\overline{D}_{A \setminus \{x\}}(a))$. Assume on the other hand that $x \in \overline{D}_A(a)$. Since $a \in \mathring{S}(T)$ and $x \notin \mathring{S}(T)$, it follows that $x \notin S(\overline{D}_A(a))$, as otherwise $\mathring{S}(T)$ would not be S -retentive. Now, since S satisfies SSP , we obtain $S(\overline{D}_A(a)) = S(\overline{D}_{A \setminus \{x\}}(a))$ as desired.

Assume that S satisfies WSP . Let $T = (A, >)$ be a tournament, and consider an alternative $x \in A \setminus \mathring{S}(T)$. We need to show that $\mathring{S}(T') \subseteq \mathring{S}(T)$, where $T' = (A \setminus \{x\}, >)$. Since \mathcal{R}_S is assumed to be pairwise intersecting, it suffices to show that $\mathring{S}(T)$ is also S -retentive in T' . To this end, consider an arbitrary $a \in \mathring{S}(T)$. Since S satisfies WSP , we have that $S(\overline{D}_{A \setminus \{x\}}(a)) \subseteq S(\overline{D}_A(a))$. Furthermore, by S -retentiveness of $\mathring{S}(T)$, $S(\overline{D}_A(a)) \subseteq \mathring{S}(T)$ and thus $S(\overline{D}_{A \setminus \{x\}}(a)) \subseteq \mathring{S}(T)$.

Assume that S satisfies IUA . Let $T = (A, >)$ and $T' = (A, >')$ be tournaments such that $T|_{\mathring{S}(T) \cup \{a\}} = T'|_{\mathring{S}(T) \cup \{a\}}$ for all $a \in A$. We need to show that $\mathring{S}(T) = \mathring{S}(T')$. We will show that

$$S(\overline{D}_{>}(a), >) = S(\overline{D}_{>'}(a), >') \quad \text{for all } a \in \mathring{S}(T). \quad (2)$$

This implies that $\mathring{S}(T)$ is a minimal S -retentive set in T' . Since \mathcal{R}_S is assumed to be pairwise intersecting, we have $\mathring{S}(T') = \mathring{S}(T)$.

To show (2), consider an arbitrary $a \in \mathring{S}(T)$. S -retentiveness of $\mathring{S}(T)$ in T implies that $S(\overline{D}_{>}(a), >) \subseteq \mathring{S}(T)$. Therefore, it follows from the definition of T and T' that $T|_{S(\overline{D}_{>}(a), >) \cup \{b\}} = T'|_{S(\overline{D}_{>'}(a), >') \cup \{b\}}$ for all $b \in \overline{D}(a)$. Now, since S satisfies IUA , we obtain $S(\overline{D}_{>}(a), >) = S(\overline{D}_{>'}(a), >')$ as desired.

Assume that S satisfies MON and SSP . We have already seen that SSP is inherited, so it remains to be shown that \mathring{S} satisfies MON . The following argument is adapted from the proof of Proposition 3.6 in Laffond et al. (1993a). Let $T = (A, >)$ be a tournament, and consider two alternatives $a, b \in A$ such that $a \in \mathring{S}(T)$ and $b > a$. Let $T' = (A, >')$ be the tournament with $T|_{A \setminus \{a\}} = T'|_{A \setminus \{a\}}$ and $D_{>'}(a) = D_{>}(a) \cup \{b\}$.

We have to show that $a \in \mathring{S}(T')$. To this end, we claim that for all $c \in A \setminus \{a\}$,

$$a \notin S(\overline{D}_{>'}(c), >') \quad \text{implies} \quad S(\overline{D}_{>}(c), >) = S(\overline{D}_{>'}(c), >'). \quad (3)$$

Consider the case when $c \neq b$ and assume that $a \notin S(\overline{D}_{>'}(c), >')$. It follows from monotonicity of S that $a \notin S(\overline{D}_{>}(c), >)$. To see this, observe that monotonicity of S implies that $a \in S(\overline{D}_{>'}(c), >')$ whenever $a \in S(\overline{D}_{>}(c), >)$. Now, since S satisfies SSP,

$$\begin{aligned} S(\overline{D}_{>'}(c), >') &= S(\overline{D}_{>}(c) \setminus \{a\}, >') \quad \text{and} \\ S(\overline{D}_{>}(c), >) &= S(\overline{D}_{>}(c) \setminus \{a\}, >). \end{aligned}$$

It is easily verified that $(\overline{D}_{>'}(c) \setminus \{a\}, >') = (\overline{D}_{>}(c) \setminus \{a\}, >)$, thus we have $S(\overline{D}_{>'}(c), >') = S(\overline{D}_{>}(c), >)$.

If $c = b$, then $a \notin S(\overline{D}_{>'}(b), >')$ together with SSP of S implies $S(\overline{D}_{>'}(b), >') = S(\overline{D}_{>'}(b) \setminus \{a\}, >')$. Furthermore, by definition of T and T' , $(\overline{D}_{>'}(b) \setminus \{a\}, >') = (\overline{D}_{>}(b), >)$ and thus $S(\overline{D}_{>'}(b), >') = S(\overline{D}_{>}(b), >)$. This proves (3).

We proceed to show that $a \in \mathring{S}(T')$. Assume for contradiction that this is not the case. We claim that this implies that

$$\mathring{S}(T') \text{ is } S\text{-retentive in } T. \quad (4)$$

To see this, consider $c \in \mathring{S}(T')$. We have to show that $S(\overline{D}_{>}(c), >) \subseteq \mathring{S}(T')$. Since, by assumption, $a \notin \mathring{S}(T')$, we have that $a \notin S(\overline{D}_{>'}(c), >')$. We can thus apply (3) and get

$$S(\overline{D}_{>}(c), >) = S(\overline{D}_{>'}(c), >') \quad \text{for all } c \in \mathring{S}(T'),$$

which, together with the S -retentiveness of $\mathring{S}(T')$ in T' , implies (4).

Having assumed that \mathcal{R}_S is pairwise intersecting, it follows from (4) that $\mathring{S}(T) \subseteq \mathring{S}(T')$. Hence, $a \notin \mathring{S}(T)$, a contradiction. This shows that \mathring{S} satisfies MON.

Finally assume that S satisfies $\widehat{\gamma}$ and SSP. We already know from the above that \mathring{S} satisfies SSP, so it remains to be shown that \mathring{S} satisfies $\widehat{\gamma}$. Let $T = (A, >)$ be a tournament, and consider two subsets $B_1, B_2 \subseteq A$ such that $\mathring{S}(B_1) = \mathring{S}(B_2) = C$. We have to show that $\mathring{S}(B_1 \cup B_2) = C$. We will show that

$$S(\overline{D}_{B_1 \cup B_2}(c)) = S(\overline{D}_{B_1}(c)) \quad \text{for all } c \in C. \quad (5)$$

This implies that C is a minimal S -retentive set in $T|_{B_1 \cup B_2}$. Since \mathcal{R}_S is assumed to be pairwise intersecting, we have $\mathring{S}(B_1 \cup B_2) = C$.

To show (5), consider an arbitrary $c \in C$. Since $\mathring{S}(B_1)$ and $\mathring{S}(B_2)$ are S -retentive in $T|_{B_1}$ and $T|_{B_2}$, respectively, we have $S(\overline{D}_{B_i}(c)) \subseteq C \subseteq B_1 \cap B_2$ for $i \in \{1, 2\}$. The fact that S satisfies SSP now implies $S(\overline{D}_{B_1 \cap B_2}(c)) = S(\overline{D}_{B_1}(c))$ and $S(\overline{D}_{B_1 \cap B_2}(c)) = S(\overline{D}_{B_2}(c))$, and thus $S(\overline{D}_{B_1}(c)) = S(\overline{D}_{B_2}(c))$. Since S satisfies $\widehat{\gamma}$, we have $S(\overline{D}_{B_1 \cup B_2}(c)) = S(\overline{D}_{B_1}(c) \cup \overline{D}_{B_2}(c)) = S(\overline{D}_{B_1}(c))$, as desired. \square

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